#### Global Aviation Information Network

(www.gainweb.org)

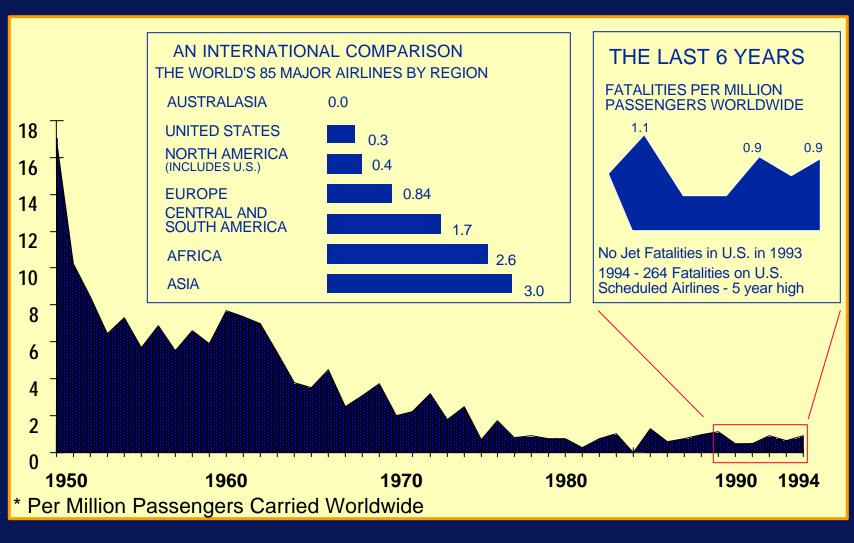


**Christopher A. Hart** 

Federal Aviation Administration
Assistant Administrator for System Safety

#### **Worldwide Airline Fatality Rate\***





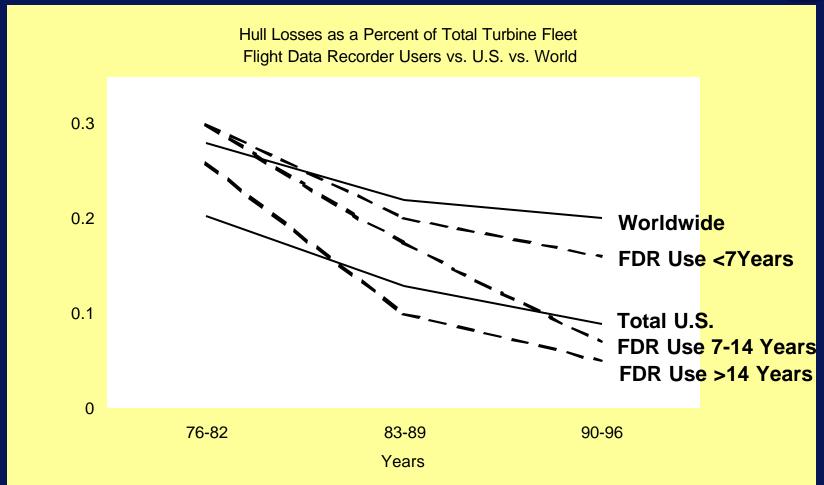
## The Hands-On "Front Line" Folks:



## "We All Knew About That Problem"

#### **Benefits of FDR Use**

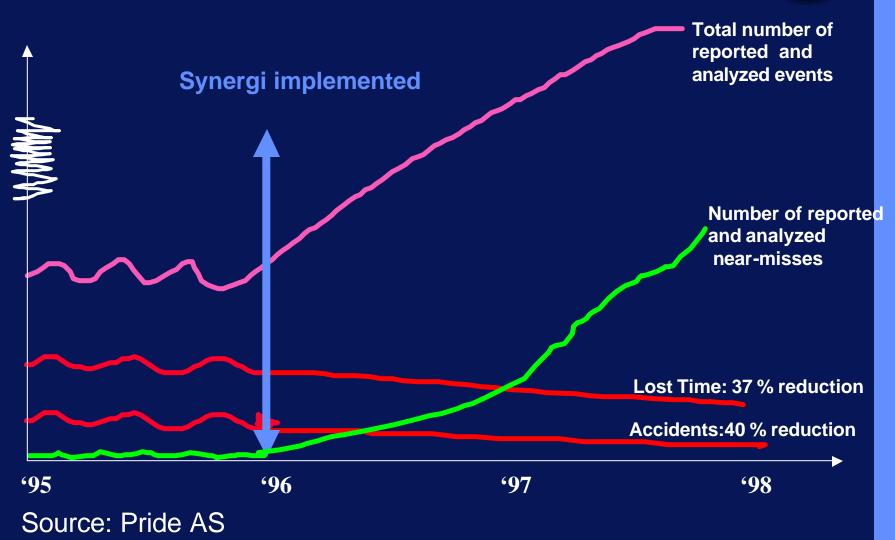




Sources: Total U.S.- FAA NASDAC
Other - Skandia Insurance Co. Ltd.

#### Norwegian Rail Experience





#### **Current System Data Flow**





**Currently Only a Minute Portion of Data is Collected and Analyzed** 

#### **Heinrich Pyramid**



**ACCIDENTS** 

**INCIDENTS** 

UNREPORTED OCCURRENCES

#### **Common Characteristics**



Inadvertent

Could Be A Link
 In An Accident Chain

Happens Repeatedly

#### So Should We...



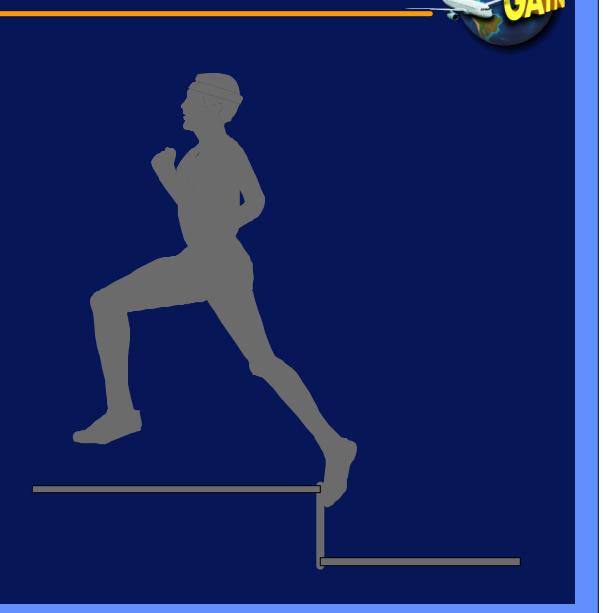
- Regulate More?
- Punish More?
- Increase Training?

OR...
Share
Information to
Fix the System?

#### Fix the Person or the System?

Is the Person Clumsy?

Or Is the Problem . . .



#### Fix the Person or the System?

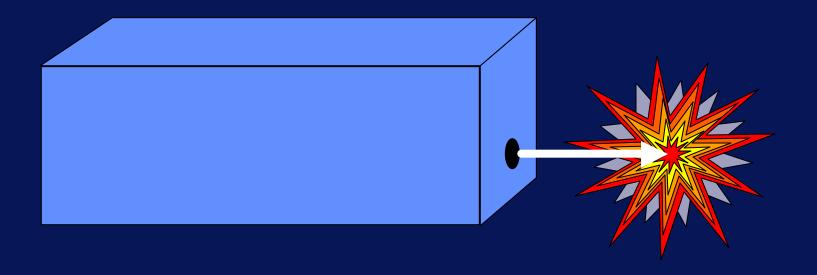
Is the Person Clumsy?

Or Is the Problem . . .

The Step???

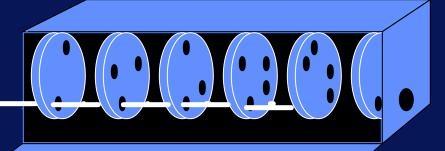
#### **Is This Light Random?**

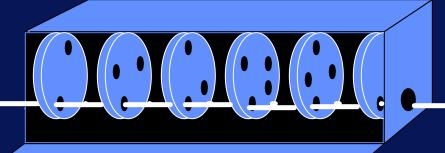




## **Accidents Result From a**Combination of Events









Root Causes

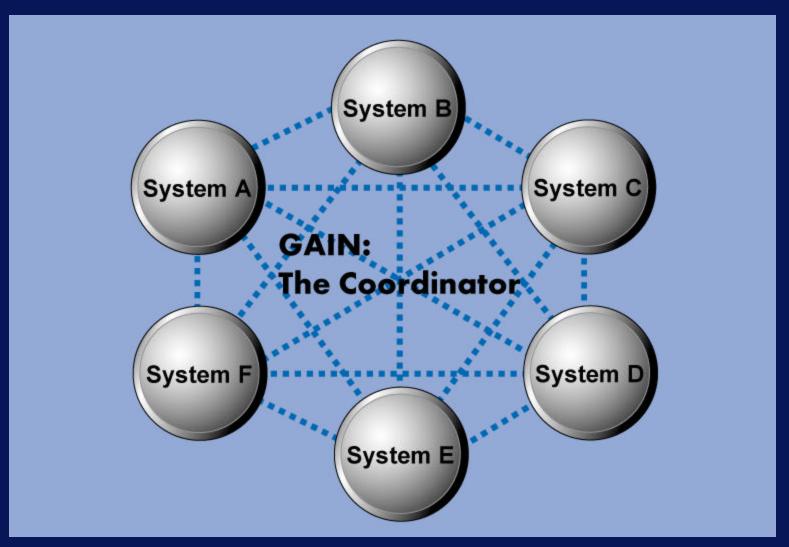
# Spinning Wheel "Event Tree" Root Causes•

# Eliminating an Active Problem Root Causes•

## **Eliminating a Latent Problem --Moving Toward Root Cause** Root Causes

## Global Aviation Information Network: The Concept





#### **Definition**



The voluntary sharing of safety information within and among networks of users in the international aviation community to improve aviation safety

#### What is GAIN?



#### Gain Is:

- Evolutionary
- Inclusive
- Built on collective needs
- Expanding existing systems
- An international cooperative effort

#### **Gain Is NOT:**

- A single centralized database
- A regulatory or enforcement program
- Mandatory
- The sharing of raw data
- A replacement for existing systems
- The single solution for improving aviation safety

#### Others Who Are Interested



#### **Transportation Department**

- Coast Guard (IMISS)
- Highway Administration
- Railroad Administration
- Pipeline Safety

#### Others (con't)



## **Critical Infrastructure Assurance Office (CIAO)**

**Public Utilities** 

**Firefighters** 

**Health Care industry** 

#### **The Health Care Industry**



#### To Err Is Human:

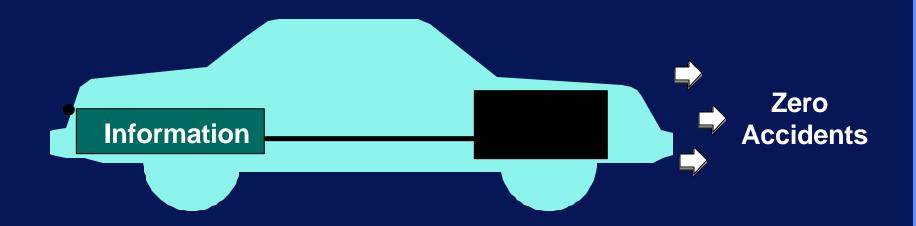
**Building a Better Health Care System** 

"The focus must shift from blaming individuals for past errors to a focus on preventing future errors by designing safety into the system."

Institute of Medicine, Committee on Quality of Health Care in America

## Information: The Fuel for Our Engine





### Obstacles that Block the Flow of Information



#### **Concerns About**

- Public Disclosure
- Job Sanctions/Enforcement
- Criminal Sanctions
- Civil Litigation

#### Removing U.S. Obstacles



- FAA Reauthorization, 1996
- Prohibits public disclosure of voluntarily provided aviation safety information
- President Clinton, January 2000
- Announced Aviation Safety Action Programs (ASAP) for voluntary pilot reporting
- FAA NPRM, June 2000
- Proposes protections for airlines and their employees from enforcement actions for regulatory violations discovered from voluntary reporting programs

#### Removing Int'l Obstacles



- ICAO 32nd Assembly, 1998
- Resolution to improve safety through enhanced collection, analysis and dissemination of safety information
- ICAO AIG, September '99
- Approved recommendation to Annex 13 that States should establish non-punitive incident reporting systems, promote establishment of safety information sharing networks, and facilitate free exchange of information on potential safety deficiencies

#### Challenges





**Improved Analytical Tools** 

Legal Issues

As we begin to get over the first hurdle, we must start working on the second.

#### Data Collection and Analysis



#### **The Crucial Missing Link**



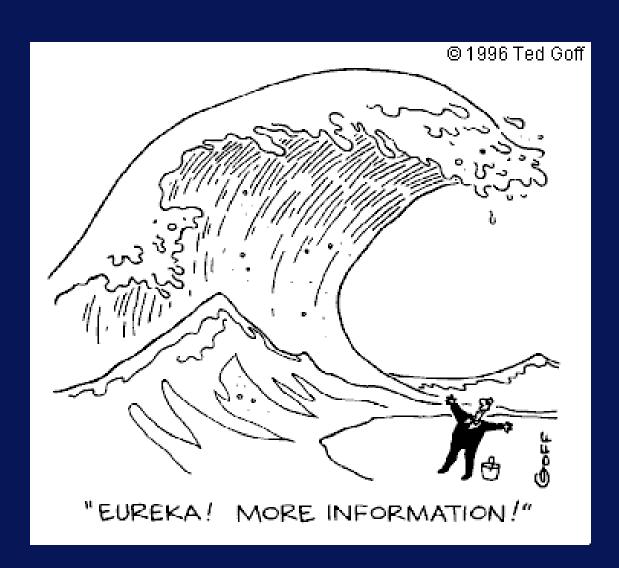
Data Management

Analytical Process

Result

#### **Information Overload**





#### **Analytical Tools**



#### Must be able to help analysts:

- Identify Potential Problems
- Prioritize Potential Problems
- Develop Solutions
- Evaluate Success

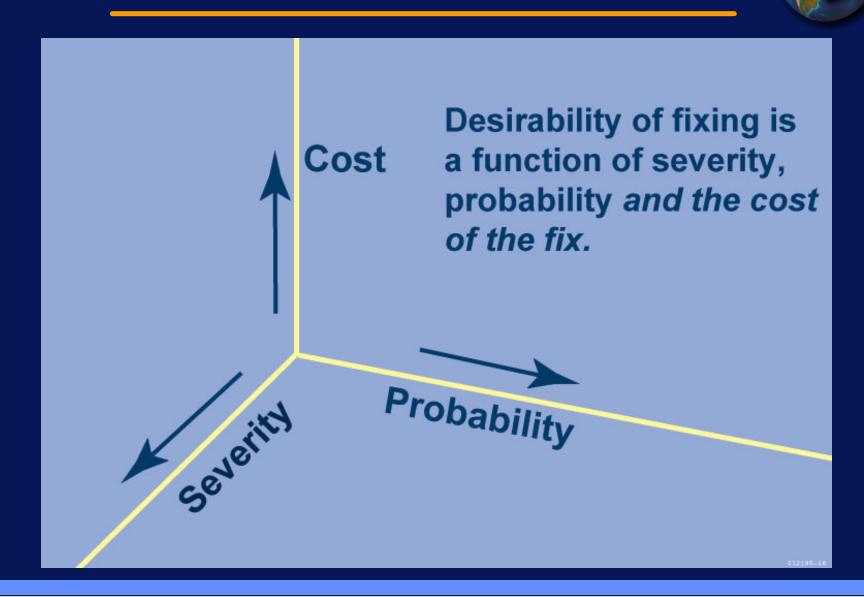
#### **All** Accidents Involve Human Factors



- Pilots
- Air Traffic Controllers
- Mechanics
- Cabin Crew
- Dispatchers
- Ramp Personnel
- Airport Operators
- Manufacturers
- Designers
- Management: Agency/Corporate

= 100%

## Prioritizing: Three Dimensions, Not Two



## Many Have Already Begun The Process

Sharing

Foundation: Collecting and Analyzing

- Airlines
- Manufacturers
- Unions
- Government







## OPERATIONS & MAINTENANCE

Immediate Benefits

#### **YOU Decide:**



- Whether to be in the Network COMPLETELY VOLUNTARY
- What Outsiders, If Any, Could Access Your Raw Data (Probably Nobody)
- What Data Standards to Use
- What Analytical Protocols to Use
- Who Would Analyze Your Data
- How Much and When to De-Identify Your Data and Analysis
- What Outsiders, If Any, Could Access Your Analysis

#### **GAIN Would:**



- Operate the "Phone Lines"
- Manage the Communications Infrastructure
- Connect You to the Network -- But Only Upon Your Request
- Suggest Data Standards
- Suggest Analytical Protocols for Consistency

## And If You Decide To Join The Network...



#### You Will ALWAYS Have

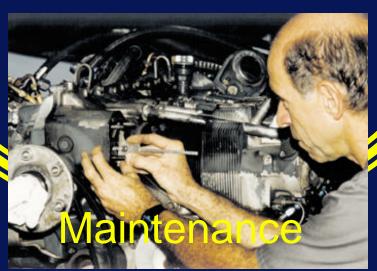
## SOLE AND COMPLETE CONTROL

Over Your Data
And Its Analysis

#### **Three Domains For GAIN**







#### **Steering Committee**



#### Airlines

- Delta Air Lines (Chair)
- Air France (Vice-Chair)
- British Airways
- Middle East Airlines
- Japan Airlines
- Air Transport Association
- Int'l Air Transport Association
- Regional Airline Association

#### Manufacturers

- Airbus
- Boeing
- Flight Safety Foundation

#### Labor

- Air Line Pilots Association
- Int'l Association of Machinists & Aerospace Workers
- National Air Traffic
   Controllers Association

#### **General Aviation**

- National Business Aviation Association
- Helicopter Association Int'l

#### Military

US Navy

#### **Working Groups**



- Working Group A
  - Aviation Operator Safety Practices
- Working Group B
  - Analytical Methods and Tools
- Working Group C
  - Global Information Sharing Prototypes
- Government Support Team (GST)
  - Several Countries, JAA, European Commission, ICAO

#### Fourth GAIN World Conference

GAIN

Where: Paris, France

When: June 13-15, 2000

**Hosted By:** 





## FAA Administrator Jane Garvey:



"GAIN is one of our best hopes for enhancing aviation safety in the next century."

**GAIN III World Conference November 1998** 

#### Please Visit and Explore...



### www.gainweb.org